

ข้อมูลผลการดำเนินงานด้านการกำกับดูแลกิจการที่ดี การบริหารความเสี่ยง และการกำกับ การปฏิบัติตามกฎเกณฑ์

| GRI Standards | Required Data | Unit | Data Collection Period | | | |
|--------------------------|--|---------------------------------|------------------------|---------------|---------------|------------------------------|
| | | | 2020 | 2021 | 2022 | 2023 |
| GRI: 2, 405 Board | | | | | | |
| 2-9 | Total board size | Person | 15 | 15 | 14 | 13 |
| | Executive director | Person | 1 | 1 | 1 | 1 |
| | Independent director | Person | 10 | 10 | 10 | 8 |
| | Independent director (DJSI Definition) ⁽¹⁾ | Person | 13 | 14 | 13 | 12 |
| | Other Non-executive director | Person | 14 | 14 | 13 | 12 |
| 2-9 | Number of female directors | Person | 2 | 2 | 2 | 3 |
| 2-9, 2-11 | Number of years Chairman is non-executive and independent | Years | 8 | 1 | 2 | 3 |
| 2-18 | Average board meeting attendance | % | 95 | 97 | 97 | 98 |
| | Minimum attendance | % | 85 | 85 | 85 | 85 |
| | Number of non-executive / independent directors with 4 or less other mandates | Person | 14 | 14 | 13 | 12 |
| | Number of other mandates for non-executive / independent director is restricted to | Person | 2 | 2 | 2 | 2 |
| 2-9 | Average tenure of board member | Years | 4 | 3 | 3.5 | 3.2 |
| 2-9 | Number of independent or non-executive members with industry experience (Management, Consulting, Academic, Research) | Person | 14 | 14 | 13 | 12 |
| Share | | | | | | |
| - | Shares with No vote (e.g. NVDR) | Amount of shares | 244,632,884 | 292,036,543 | 364,747,591 | 313,789,303 |
| | Share with Voting right per 1 share | Amount of shares | 3,725,352,516 | 3,677,948,857 | 3,605,237,809 | 3,656,195,097 ⁽²⁾ |
| Shareholder | | | | | | |
| - | Family ownership | % shares held by family members | NA | NA | NA | NA |
| | Government ownership | % | 65.29 | 65.29 | 65.29 | 65.29 |
| GRI: 205 Code of Conduct | | | | | | |
| 205-2 (11-20) | COC Coverage of Application for Employees | % | 100 | 100 | 100 | 100 |
| | COC Coverage of Application for Contractor/suppliers/service providers | % | 100 | 100 | 100 | 100 |
| | COC Coverage of Application for Subsidiaries | % | 100 | 100 | 100 | 100 |
| | COC Coverage of Application for Joint Ventures | % | 100 | 100 | 100 | 100 |
| | Written/digital acknowledgement for Employees | % | 100 | 100 | 100 | 100 |
| | Written/digital acknowledgement for Contractor/suppliers/service providers | % | 100 | 100 | 100 | 100 |
| | Written/digital acknowledgement for Subsidiaries | % | 100 | 100 | 100 | 100 |
| | Written/digital acknowledgement for Joint Ventures | % | 100 | 100 | 100 | 100 |
| | Training provided to Employees | % | 99 | 100 | 100 | 100 |
| | Training provided to Contractor/suppliers/service providers | % | 93 | 94 | 94 | 100 |
| | Training provided to Subsidiaries | % | 100 | 100 | 100 | 100 |
| | Training provided to Joint Ventures | % | 100 | 100 | 100 | 100 |

| GRI Standards | Required Data | Unit | Data Collection Period | | | |
|---------------------------------------|---|--------------|------------------------|------|------|------|
| | | | 2020 | 2021 | 2022 | 2023 |
| GRI: 205 Code of Conduct Cases | | | | | | |
| 205-3, 406-1 (11-2) | Past: Total number of substantiated corruption & bribery cases | Case | 0 | 0 | 0 | 0 |
| | On-going: Total number of substantiated corruption & bribery cases | Case | 0 | 0 | 0 | 0 |
| | Past: Total amount of incurred fines or settlements related to anti-competitive business practice | USD | 0 | 0 | 0 | 0 |
| | Past: Total amount of incurred fines or settlements related to anti-competitive business practice | % of revenue | 0 | 0 | 0 | 0 |
| | On-going: Total amount of incurred fines or settlements related to anti-competitive business practice | USD | 0 | 0 | 0 | 0 |
| | Number of whistleblowing cases | Case | 10 | 20 | 13 | 33 |
| | Whistle-blower - External reporter | Case | 1 | 8 | 3 | 16 |
| | Whistle-blower - Internal reporter | Case | 4 | 4 | 3 | 4 |
| | Whistle-blower - Anonymous | Case | 5 | 8 | 7 | 13 |
| | Number of accepted cases after considering evidence and witnesses | Case | 5 | 10 | 8 | 11 |
| | Number of wrongdoing cases ⁽³⁾ | Case | 2 | 3 | 3 | 3 |
| | Health and safety | Case | 0 | 0 | 0 | 0 |
| | Business integrity | Case | | | | |
| | - Non-compliance with regulation | Case | 0 | 0 | 0 | 0 |
| | - Conflict of Interest | Case | 1 | 0 | 0 | 2 |
| | - Corruption, Fraud, Bribery | Case | 0 | 0 | 0 | 0 |
| | - Use of asset and information | Case | 0 | 1 | 0 | 0 |
| | - Others i.e. Money Laundering or InsiderTrading | Case | 0 | 0 | 0 | 0 |
| | Human Resources | Case | | | | |
| | - Inappropriate manner including discrimination | Case | 1 | 0 | 0 | 1 |
| | - Sexual harassment | Case | 0 | 2 | 3 | 0 |
| - Non-sexual harassment | Case | 0 | 0 | 0 | 0 | |
| - others | Case | 0 | 0 | 0 | 0 | |
| 418-1 | Breaches of customer data privacy | Case | 0 | 0 | 0 | 0 |
| | - Complaints received from outside parties and substantiated by the organization | Case | 0 | 0 | 0 | 0 |
| | - Complaints received from regulatory bodies. | Case | 0 | 0 | 0 | 0 |
| | - Total number of identified leaks, thefts, or losses of customer data | Case | 0 | 0 | 0 | 0 |
| 205-3, (11-2) | Consequences of wrongdoing | Case | 2 | 3 | 3 | 3 |
| | Verbal warning | Case | 1 | 0 | 0 | 1 |
| | Written warning | Case | 0 | 3 | 2 | 2 |
| | Suspension | Case | 0 | 0 | 0 | 0 |
| | Termination with severance pay | Case | 0 | 0 | 0 | 0 |
| | Termination without severance pay | Case | 1 | 0 | 1 | 0 |
| GRI: 2 Compliance | | | | | | |
| 2-27 | Non-compliance with laws and regulations in the social and economic area | Case | 0 | 0 | 0 | 0 |
| | Total number of significant instances for which non-monetary sanctions | Case | 0 | 0 | 0 | 0 |
| | Total number of significant instances for which fines | USD | 0 | 0 | 0 | 0 |

| GRI Standards | Required Data | Unit | Data Collection Period | | | |
|---------------|---|-----------|------------------------|------|------|------|
| | | | 2020 | 2021 | 2022 | 2023 |
| - | Information Security/ Cybersecurity | | | | | |
| - | The IT BCM and incident response procedure testing | Time/Year | 2 | 2 | 2 | 2 |
| - | The percentage of PTTEP IT infrastructure certified by ISO 27001 | % | 100 | 100 | 100 | 100 |
| - | Total number of breaches of information security | Case | NA | NA | 0 | 0 |
| - | Total number of clients, customers and employees affected by the breaches | Case | NA | NA | 0 | 0 |

Remarks:

⁽¹⁾ PTTEP Independent director criteria which are aligned with the SAM's criteria (DJSI Definition), which 4 out of 9 and the first 2 from 3 criteria were met, there are 4 criteria as follow:

- SAM criteria 1: The director must not have been employed by the company in an executive capacity within the last five years (PTTEP's Definition 2)
- SAM criteria 3: The director must not be a "Family Member of an individual who is, or during the past three years was employed by the company or by any parent or subsidiary of the company as an executive officer." (PTTEP's Definition 3)
- SAM criteria 4: The director must not be (and must not be affiliated with a company that is) an adviser or consultant to the company or a member of the company's senior management. (PTTEP's Definition 6)
- SAM criteria 8: The director must not have been a partner or employee of the company's outside auditor during the past three years. (PTTEP's Definition 5)

⁽²⁾ Information as of February 2024

⁽³⁾ In 2020, business integrity wrongdoing case was related to employees' conflict of interest and disclosure of internal confidential information. While Human Resource wrongdoing case was related to non-sexual harassment.

NA: Not Applicable